

Source-Level Threads

FIELD OF THE INVENTION

The present invention relates generally to transforming  
5 reactive-control programs from preemptive multitasking form to  
run-to-completion form. More particularly, to a technique for  
automating the task of breaking down long-running tasks into a  
plurality of short-running tasks.

BACKGROUND OF THE INVENTION

High-speed, reactive-control systems, which are at the heart  
of telecommunications, must often re-implement algorithms and  
existing processor code that were developed without the necessary  
properties for this specialized setting. Integrating these rogue  
15 elements into a computer system is currently either a  
performance-degrading change to the system architecture or a  
time-consuming and error-prone manual effort requiring highly  
specialized training.

There are two general models of behavior for computer  
20 systems in which a single processor is supposed to complete  
several tasks: run-to-completion and multitasking. In the run-  
to-completion model, the first task is begun and completely

finished, then the second task is begun and completely finished, and so on. Each task runs to completion before the next begins.

Run-to-completion systems are considerably easier to model analytically than multitasking systems. For example, run-to-  
5 completion systems enable simple proofs of freedom from deadlock and ability to meet responsiveness requirements.

In the multitasking model, the first task runs for a little while and stops, perhaps unfinished. Then the second task runs for a little while and stops, perhaps also unfinished. And so  
10 on, cycling through until the tasks are finished. The processor really works on only one task at a time, but the effect is as if it were making progress on several tasks simultaneously.

The multitasking model can be split further into cooperative multitasking and preemptive multitasking. In cooperative  
15 multitasking, each task is responsible for yielding control of the processor to the other tasks after running for a short time. Co-operative multitasking typically operates by requiring the long-running computations to volunteer to be suspended at "good stopping points."

20 One basic cooperative multitasking model involves placing processor yields strategically throughout the code. For example, the sumto computation shown in Figure 1 is a simple example of

potentially long-running computation. This is a tight loop (three instructions on an Intel Pentium) and so may be able to meet, for example, a 1 ms bound for  $n < n_0$  for some  $n_0$ . A value of  $n_0 + 1$  may cause untimely completion of this or a subsequently  
5 delayed computation, perhaps leading to localized or total system failure.

Using cooperative multitasking the sumto might be changed as in Figure 2. The yield is responsible for 1) saving all relevant machine state (stack, registers, etc); 2) invoking another (at  
10 least as high priority) task; and 3) arranging the system so sumto will eventually be re-started. If the yield call is simply a replacement for timer-driven preemption, this is just as expensive as a full preemptive context switch.

In preemptive multitasking, some sort of operating system  
15 forcibly takes control away from each task and gives it to another every so often. Pre-emptive multitasking typically operates by suspending the currently running task every few milliseconds, selecting a (perhaps different) task from a ready-to-run queue, setting up its context, setting a hardware  
20 interrupt to wake the scheduler at the next preemption interval, and then finally giving the processor to the selected task.

Of these models, run-to-completion is the most efficient. Next is cooperative multitasking which is a considerably lower overhead mechanism (i.e., more efficient) than pre-emptive approaches because it enables a host of optimizations and therefore delivers higher throughput. Preemptive multitasking is the least efficient.

With pre-emptive multitasking some amount of overhead is introduced by switching from one task to another in the middle of the tasks, and this overhead is greatest when the operating system has to make the switch without the consent or assistance of the tasks. The overhead is eliminated by allowing each task to run until it is finished.

The cooperative multitasking model is in-between in the amount of overhead it introduces, but it places the most burden on the programmer to yield control in the "right" places. In the worst case, if the programmer makes a poor choice, the illusion of simultaneous work is broken, and the model degenerates into run-to-completion.

Some cooperative multitasking systems use but a single execution stack. This minimizes the cost of saving the relevant machine state and arranging for the yielding process to be restarted. This focuses the cost of invoking another task on

selecting the task (e.g., invoking another (at least as high  
priority) task). Even so, yields remain fairly disruptive and  
expensive relative to not yielding, and so yields are often  
throttled by offering to yield only every  $n^{\text{th}}$  coherent point  
5 (e.g., at `tripct` = 10, as shown in Figure 3). This can  
distribute hundreds of constants like `tripct` (Figure 3)  
throughout a system, each of which may require re-tuning for each  
system update. This, coupled with the increase in code complexity  
demonstrated by this (Figure 3) version of `sumto`, reveals the  
10 brittleness and high risk of fault injection virtually guaranteed  
by cooperative multitasking.

Cooperative multitasking is frequently selected for its  
ability to deliver high-throughput when the majority of tasks are  
short-lived. Short-lived tasks may never need to participate in  
15 multitasking, giving the majority of the system a run-to-  
completion flavor.

In addition, cooperative multitasking imposes tasking  
overhead on only long-running tasks, whereas the pre-emptive  
model can impose substantial overhead on essentially every task.

20 Pre-emptive multitasking's overhead stems from two main  
sources: arbitrary suspension and mutual exclusion. Any  
process, even a short-running process, may be suspended by the

operating system at any time, so guaranteed response time requires a priority system; but preventing starvation requires increasing a process's priority so it eventually spends some time as the highest priority process. Since any process could be  
5 suspended at any time, pre-emptive multitasking requires some mechanism to guarantee mutually exclusive access to shared data structures.

In a run-to-completion or cooperative multitasking model, such suspension cannot occur and so no processor cycles need be  
10 devoted to coordination around critical sections. Programmers are almost always considerably more comfortable programming to a pre-emptive multitasking model. It is possible to program as if the application were the only process, particularly when it neither accesses nor updates globally shared data. This makes it  
15 highly attractive to programmers faced with an inherently long running computation, such as finding the least costly paths through a large network.

Given the strengths and weaknesses of these approaches, a dilemma may arise in a real-time or a "reactive control" system.  
20 That is, a system whose primary function is to react to external control signals. Further, suppose that the system must react very, very quickly to repeated signals, such as Internet Protocol

(IP) packets. In this case, the overhead introduced by preemptive multitasking is often too great for the system to keep up with its inputs, and the various tasks performed by the system are often too complex for programmers to correctly implement cooperative multitasking. This situation leaves the run-to-completion model as the only alternative.

The run-to-completion model is a satisfactory solution to this problem provided that there is no task that takes too long, where "too long" means that completing the task would cause the system to get behind in its processing of the incoming signals. Unfortunately, in almost all sufficiently complex systems, there are some tasks that take too long.

For example, in a high-speed Internet router, the routing tables must be updated occasionally, and this update process may take several seconds or minutes; the incoming packets, however, expect to be serviced in tiny fractions of a second.

Other existing solutions to this problem also have drawbacks. One existing solution is to force the programmers to divide long-running tasks into smaller sub-tasks, each of which can be completed quickly using a run-to-completion style. This way, no single task can take too long and cause the system to get behind in its processing of incoming signals. In reality, some

tasks are too intricately structured for even the best programmers to divide. Either the sub-tasks remain too large and long-running, or the subdivision is done incorrectly and the system fails.

5       The second solution is to give up on the run-to-completion model and return to preemptive multitasking. In other words, rely on the operating system to divide up the tasks. This is probably the most common solution, since it is much more likely to yield a correctly functioning system. But in a very, very  
10 high-speed reactive control system, no task can be allowed to run for more than a fraction of a second before the operating system switches to another task. As the "fraction of a second" (called a timeslice) gets smaller and smaller, the operating system's task-switching overhead starts to outweigh the real progress of  
15 the individual tasks. This solution has often been good enough in the past, but we are now starting to see systems in which the timeslice has to be so small that preemptive multitasking introduces as much as 1000% overhead. This is a drawback.

When multitasking is not available from the operating system  
20 or when the operating system's version of multitasking is inadequate, it has been shown that the programming language can be modified to provide similar services. Friedman, Haynes, and



Wand showed in 1984 how to modify a programming language to support what they called "engines" for this purpose. A drawback of this approach is that it requires changing the programming language.

5 In view of the foregoing, it would be desirable to provide a technique for transforming reactive-control programs from preemptive multitasking form to run-to-completion form which overcomes the above-described inadequacies and shortcomings. More particularly, it would be desirable to provide a technique  
10 for automating the task of breaking down long-running tasks into a plurality of short-running tasks in an efficient and cost effective manner.

#### SUMMARY OF THE INVENTION

15 According to the present invention, a technique for transforming programs having a first multi-tasking property to programs having a second multi-tasking property is provided. In one embodiment, the technique is realized by transforming programs having a first multi-tasking property into a data  
20 structure. Then, the technique comprises transforming the data structure to include an explicit multi-tasking transfer of control command. The technique may also comprise optimizing the

data structure to reduce an amount of program state (i.e., the current values in machine registers and memory) that is saved at a transfer of control. The technique may also comprise generating programs having a second multi-tasking property using the optimized data structure.

Some programming-language compilers use techniques and program transformations that are similar to these for starting with a high-level language that is very different from C and producing C or machine code. As far as the inventors are aware, no one else has ever modified the techniques to automate the translation of, for example, C/C++ programs designed for a multitasking system into new C/C++ programs designed for a high-performance run-to-completion system. The application of these techniques to languages like C or C++ is believed novel.

The present invention will now be described in more detail with reference to exemplary embodiments thereof as shown in the appended drawings. While the present invention is described below with reference to preferred embodiments, it should be understood that the present invention is not limited thereto. Those of ordinary skill in the art having access to the teachings herein will recognize additional implementations, modifications, and embodiments, as well as other fields of use, which are within

the scope of the present invention as disclosed and claimed herein, and with respect to which the present invention could be of significant utility.

#### BRIEF DESCRIPTION OF THE DRAWINGS

5 In order to facilitate a fuller understanding of the present invention, reference is now made to the appended drawings. These drawings should not be construed as limiting the present invention, but are intended to be exemplary only.

10 Figure 1 is an example of an unbounded computation in accordance with the present invention.

Figure 2 is an example of an unbounded, but cooperative, computation according to one embodiment of the invention.

15 Figure 3 is an example of an unbounded, cooperative, efficient computation according to one embodiment of the invention.

Figure 4 is a schematic flow diagram of a transformation according to one embodiment of the invention.

Figure 5 is a schematic flow diagram of an automatic transformation according to one embodiment of the invention.

20 Figure 6 is an example of a transformed computation according to one embodiment of the invention.

Figure 7 is an example of a translation of a computation according to one embodiment of the invention.

**DETAILED DESCRIPTION OF EXEMPLARY EMBODIMENT(S)**

The invention provides a specific technique that takes  
5 reactive-control programs written for the preemptive multitasking  
model and automatically transforms them into new programs in the  
same programming language that work in the run-to-completion  
model. In effect, transformation automates the subdivision of  
long-running tasks into very many very-short-running tasks. Some  
10 embodiments of the invention may be viewed as implementing  
threads (a particular form of multitasking) at the program-source  
level, instead of at the more traditional operating-system level.  
Another view is that by extracting the finite-state machines  
implicit in the programs, the invention allows the programs to  
15 suspend after each state transition.

Experimental results indicate that this technique allows the  
timeslice spent on a single task to be three orders of magnitude  
(a thousand times) smaller than in preemptive multitasking  
systems, while simultaneously reducing the 1000% overhead to less  
20 than 25%.

As shown in Figure 4, the steps one embodiment of the system follows to transform programs into new programs with the desired properties are as follows:

At step 400, parse the source code into an abstract data-  
5 structure representation.

At step 410, transform the data structures so that transfers  
of control (jumps, loops, function calls, etc.) are explicitly  
described in the data structure, rather than being implicitly  
described by the language implementation. The new form is  
10 similar to "Continuation-Passing Style," a program form used by  
researchers in programming languages and some compiler writers.

At step 420, introduce new structures into the now-explicit  
control points. The new structures represent code that checks a  
"countdown" to determine whether the program should really  
15 continue or whether it should save the relevant portions of its  
current state and stop, simulating a new "external" signal that  
says to continue the program later. These structures may cause  
the program to be similar to "Trampolined Style," a program form  
used by some compilers for advanced languages when they are  
20 compiling into lower-level languages like C.

At step 430, use the final version of the abstract data-  
structure representation to automatically generate new source

code suitable for compilation using whatever compiler was used for the original code.

This transformation process enables functional properties of the programs, other than those that are specific to whether the program runs all at once or in pieces, to remain unchanged and maintained.

In particular, unless a program depends on taking a certain amount of time to run, embodiments of this transformation will never break a working program.

The present invention provides a technology to allow programmers to write "long-running computations" as if they were programming to a pre-emptive multitasking system. These long-running computations can then be transformed algorithmically and automatically to co-exist in a cooperative multitasking environment in a correctness preserving fashion. The transformed programs use as much of the processor as is available, but can (offer to) yield the processor with high frequency and very low overhead. The automated transformation of the invention rests on four key techniques as shown in Figure 5.

The techniques are: at step 500, automatic identification of the long-running computation's finite-state control; at step 510, separation of the program's control stack from the system

stack; at step 520, dividing each transfer of control into where?  
and when?; and at step 530, preserving the minimum amount of  
program state required at each yield point.

The transformed program may then be executed step-by-step  
5 through a driver loop that repeatedly steps the program through  
the coherent yield points identified by the transformation. At  
each step, the driver loop may decide whether the program has  
consumed its allotment of time or whether time remains. If time  
remains, the driver loop executes the next step; otherwise, it  
10 may suspend the computation and switch to another computation.

The coherent yield points are identified by first parsing  
the program into an abstract syntax tree (e.g., as at step 400);  
then converting that abstract syntax tree into a representation  
of a "continuation-passing style" (CPS), or some similar style  
15 that makes the control and data stacks explicit (e.g., as at step  
410); then optimizing the CPS, or other, form to minimize the  
amount of program state that must be saved at each yield point  
through a combination of live-variable analysis, register  
allocation, and register assignment; then using the optimized  
20 CPS, or other form to generate an equivalent program in the  
original source language that breaks each transfer of control  
into two parts, for example, a return of the current continuation

to the driver loop followed by an invocation of that continuation. As described above, the driver loop may delay the second step indefinitely by returning to its invoker.

The flavor of one embodiment of this transform is captured  
5 in application to sumto as shown in Figure 6. In some  
embodiments, the translation splits the sumto computation into  
two functions. The new sumto is the driver loop, which uses  
sumto\_cps, a CPS-form of the original sumto with explicit stack  
manipulations. sumto\_cps returns true if and only if the  
10 computation has completed.

The CPS form of a program is equivalent to a conventional  
flowgraph, augmented to manipulate the control and data stacks  
explicitly. The flowgraph is the finite-state controller  
corresponding to each routine, and a "continuation" in the above  
15 sense is simply the address of the first instruction in the next  
basic block to be executed. The control stack is an explicitly  
manipulated data structure, rather than a structure implicitly  
managed by the language implementation. In this way, the amount  
of context that must be specially squirreled away during a  
20 program yield is minimized, comprising two machine words: one to  
identify the finite-state machine state, the other to identify  
the top of the explicitly manipulated stack. In essence, this



transformation gives an explicit source-level representation of distinct computational threads, vastly reducing the overhead associated with typical thread packages.

The source-to-source, semantics-preserving nature of the transformation enables the transformed program to be as portable as the original program, as it introduces no new assumptions about the properties of the processor. Some embodiments of this translation may be specifically designed to be fully compatible with asynchronous messaging systems with first-in-first-out (FIFO) delivery of messages and run-to-completion semantics. To arrange the restarting of the long-running computation with the current continuation, the long-running computation simply sends a message to itself containing the current continuation, which, as described above, is effectively two machine words.

Results well-known within a certain segments of the programming languages community (continuations from denotational semantics and language implementation; flowgraphs with explicit stack manipulation from optimizing compilers) showed the finite-state control could be extracted from general (recursive) programs. Further, from the mid-1980's the Scheme programming language community has been using continuations to simulate multitasking. These techniques are adapted to extract the

finite-state machines from programs automatically, render the machine in the source language, and control the timeslice at runtime so it reflects response-time requirements and system load. Thus, a long-running computation can be transformed into a short computation algorithmically. Indeed, if the computation is not completed in a timely fashion, the computation can be halted simply by dropping the continuation, rather than killing an operating-remove space system level thread. The techniques are also applicable to general (possibly blocking) system calls on POSIX-compliant systems.

Table I shows performance results for program run length using the present invention. One benchmark is simply an overhead benchmark: how much more does the computation cost with source-level threading than without? Table I shows a typical realization of the Dijkstra calculation for finding least-cost paths through a directed graph, a long-running computation task common to Internet routing algorithms. The results shown are for runs without optimizing the translation (i.e., without minimizing the amount of program state saved at each yield, but instead saving it all, and using indirect jumps for all jumps instead of direct jumps where possible). A simple throttling mechanism based on "ticks" was used to control the frequency of yields.

Each "tick" corresponded to the execution of a single basis block (a sequence of instructions not including a jump or call instruction). By varying the number of ticks, we control the number of basic blocks executed between yields. For example (Table 1), by selecting 35,000 ticks between yields, we caused the program to yield on average every 1.2 ms; this increased the total runtime of the program from 5.30 seconds to 6.84 seconds, an overhead of 29%. This increase was caused by a simplistic rendering of the yield code, which always employed indirect jumps, even when the actual target was known. Since indirect jumps cost approximately 8 times the cost of a direct jump, and the translator can optimize indirect jumps almost everywhere, we estimate the optimized overhead at about 8% for 1.2 ms (35,000 tick) yields.

TABLE 1 - SAMPLE OVERHEADS FOR VARIOUS TIMESLICE SIZES

Ticks between yields	Average time between yields	Total time	Overhead
$\infty$		5.30 s	
35,000	1.2 ms	6.84 s	29%
1,000	35 $\mu$ s	6.93 s	31%
50	1.75 $\mu$ s	8.41 s	59%

Since this overhead is imposed only on the long-running computation and not on all the natural co-operative multitasking programs, this has the potential for substantially reducing the processing load. These results were generated implementing a C/C++ compiler performing this translation automatically. The translator combines the techniques of Figure 3 and Figure 6, generating code that can be dynamically throttled.

Figure 7 shows the effect of the combined translation on the body of the for loop in sumto. The generated code still takes the form of a switch on a basic block number with a "yield" at the end, but the yield is conditionalized to avoid the overhead of an additional procedure call and return. Notice lines 10 and 11: line 10 is a switch target, whereas line 11 is a statement label. This allows a direct goto to be used instead of the return-call-switch sequence when a yield is not to be taken, considerably reducing the overhead apparent in the less sophisticated translation in Figure 6. In the normal case, time will be left on the timer and the goto will be used. When the timer expires, the code saves the live variables and returns to the caller with an indication that it is not yet done. The generated code is structured to allow the C compiler's register allocator to eliminate most of the redundant copies (lines 12-14

and 17-19) simply by allocating (e.g., n and arg0) to the same processor register.

The present invention is not to be limited in scope by the specific embodiments described herein. Indeed, various  
5 modifications of the present invention, in addition to those described herein, will be apparent to those of ordinary skill in the art from the foregoing description and accompanying drawings. Thus, such modifications are intended to fall within the scope of the following appended claims. Further, although the present  
10 invention has been described herein in the context of a particular implementation in a particular environment for a particular purpose, those of ordinary skill in the art will recognize that its usefulness is not limited thereto and that the present invention can be beneficially implemented in any number  
15 of environments for any number of purposes. Accordingly, the claims set forth below should be construed in view of the full breath and spirit of the present invention as disclosed herein.